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| Substitute form 1449/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Application Number | 10/693,301 |
| | | Filing Date | October 24, 2003 |
| | | First Named Inventor | Gary K. SCHWARTZ |
| | | Art Unit | |
| | | Examiner Name | |
| Sheet 1 of 1 | Attorney Docket Number | 702-A-US | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| PCW | 1 | L. Zhang, L. Yank, X. Zheng, "A study of helicobacterium pylori and prevention and treatment of chronic atrophic gastritis", J. Tradit. Chin. Med., 17:3-9, 1997. | |
| PCW | 2 | L.Q. Yang, M. Singh, E.H. Yap, G.C. Ng, H.X. Xu, and K.Y. Sim, "In vitro response of Blastocystis hominis against traditional Chinese medicine", J. Ethnopharmacol 55: 35-42, 1996. | |
| PCW | 3 | S.G. Franzblau and C. Cross, "Comparative in vitro antimicrobial activity of Chinese medicinal herbs. J. Ethnopharmacol", 15: 279-288, 1986. | |
| PCW | 4 | L.C. Song, K.Z. Chen, J.Y. Zhu, "The effect of Coptis chinensis on lipid peroxidation and antioxidases activity in rats", Chung Kuo Chung Hsi I Chieh Ho Tsa Chih (1992 Jul) 12(7):421-3, 390. | |
| PCW | 5 | M. Umeda, S. Amagaya and Y. Ogiwara, "Effects of certain herbal medicines on the biotransformation of arachidonic acid: a new pharmacological testing method using serum", J Ethnopharmacol (1988 May-Jun) 23(1):91-8. | |
| PCW | 6 | G.D. Wang, Y.M. Zhang and X.Y. Xiong, "Clinical and experimental study of burns treated locally with Chinese herbs", Chung Hsi I Chieh Ho Tsa Chih (1991 Dec) 11(12):727-9, 709. | |
| PCW | 7 | W.M. Huang, J. Yan, and J. Xu, "Clinical and experimental study on inhibitory effect of sanhuang mixture on platelet aggregation", Chung Kuo Chung Hsi I Chieh Ho Tsa Chih (1995 Aug) 15(8):465-7 | |
| PCW | 8 | Y. Kobayashi, Y. Yamashita, N. Fuji, K. Takaboshi, T. Kawakami, M. Kamwamura, T. Mizukami, and H. Nakano, "Inhibitors of DNA topoisomerase I and II isolated from the Coptis rhizomes". Planta Med 61: 414-418, 1995 | |
| PCW | 9 | X.P. Fang, T.Z. Wang, H. Shang, H. Shuai, D. Li, and C.K. Xie, "Quantitative determination of 5 alkaloids in plants of coptis from china". Chung Kuo Yao Tsa chih 14: 33-35, 1989 | |
| PCW | 10 | C.W. Chi, Y.F. Chang, T.W. Cjao, S.H. Chiang, F.K. P'eng, W.Y. Lui, and T.Y. Liu, "Flowcytometric analysis of the effect of berberine on the expression of glucocorticoid receptors in human hepatoma HepG2 cells". Life Sci 54: 2099-2107, 1994 | |

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| Examiner Signature | <i>PCW</i> | Date Considered | 3/3/06 |
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Sheet 1

of 2

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| Filing Date | October 24, 2003 |
| First Named Inventor | Gary K. Schwartz |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 702-A-US |

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|--|-----------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | 1 ⁶ |
| | | Country Code ² *Number ⁴ *Kind Code ⁵ (# known) | | | | |
| Pem | 1 | PCT/CN01/01521 | 10-10-02 | LI, HONGFEN | | |
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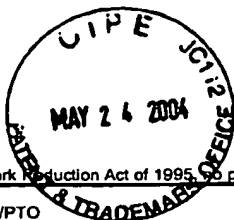
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Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| pen | 2 | PCT International Search Report for Sloan-Kettering Institute for Cancer Research, Filed on October 25, 2003, Dated May 11, 2004. | |
| pen | 3 | Database PGPB on WEST, BASu et al. Treatment for Inflammatory bowel disease (IBD) and related conditions. PGPUB-DN 20020025348; February 26, 2003, Sections 0047-0048 and also claim 2. | |
| pen | 4 | Database PGPB on WEST, LU et al. Methods for inhibiting cancer growth, reducing infection and promoting general health with a fermented soy extract. PGPUB-DN 20020182274, December 5, 2002, entire document. | |
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